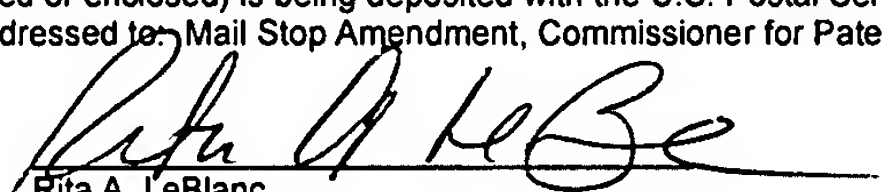




Docket No.: K0502.70037US00  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Basil Karanikos et al.  
Serial No.: 10/658,925  
Confirmation No.: 3129  
Filed: September 10, 2003  
For: BEVERAGE FILTER CARTRIDGE  
Examiner: S. U. Kim  
Art Unit: 1723

|  |   |
|--|---|
| <b>Certificate of Mailing Under 37 CFR 1.8(a)</b>  |   |
| I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |   |
| Dated: <u>July 30, 2007</u>  | <br>Rita A. LeBlanc |

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination under 37 CFR 1.114 (37 CFR 1.97(b)(4)).

Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

TW377595 and JP 2002-102068A were cited in a Search Report for counterpart Taiwan Application No. 93126369. A copy of an English-language translation the Search Report is attached for the Examiner's information. An English-language abstract for JP 2002-102068A is enclosed. U.S. Patent No. 5,082,676 is a family member of TW377595 and has been cited in the attached PTO/SB/08.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No. K0502.70037US00. A duplicate copy of this paper is enclosed.

Dated: July 30, 2007

Respectfully submitted,

By 

Eric L. Amundsen

Registration No.: 46,518

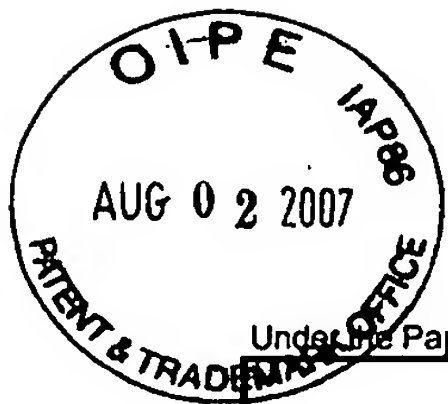
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PTO/SB/21 (04-07)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |                        |
|--|------------------------|
| Application Number                       | 10/658,925-Conf. #3129 |
| Filing Date                              | September 10, 2003     |
| First Named Inventor                     | Basil Karanikos        |
| Art Unit                                 | 1723                   |
| Examiner Name                            | S. U. Kim              |
| Attorney Docket Number                   | K0502.70037US00        |
| Total Number of Pages in This Submission | 4                      |

## ENCLOSURES (Check all that apply)

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| <div>Remarks</div>   |  |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

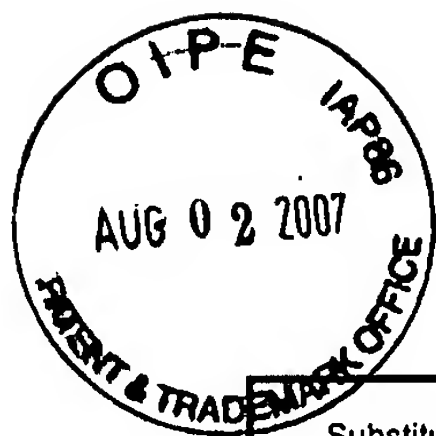
|              |                                |          |        |
|--------------|--------------------------------|----------|--------|
| Firm Name    | WOLF, GREENFIELD & SACKS, P.C. |          |        |
| Signature    |                                |          |        |
| Printed name | Eric L. Amundsen               |          |        |
| Date         | July 30, 2007                  | Reg. No. | 46,518 |

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Dated: July 30, 2007

Signature: (Rita A. LeBlanc)



Used in Lieu of PTO/SB/08A/B  
(Based on PTO 04-07 version)

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| <b>Substitute for form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                        |
|  |   |    |   | Application Number       | 10/658,925-Conf. #3129 |
|  |   |    |   | Filing Date              | September 10, 2003     |
|  |   |    |   | First Named Inventor     | Basil Karanikos        |
|  |   |    |   | Art Unit                 | 1723                   |
|  |   |    |   | Examiner Name            | S. U. Kim              |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | K0502.70037US00        |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | A1                       | 5082676A                                 | 01/21/1992                     | Love et al.  |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          | B1                       | JP-2002-102068A   | 04-09-2002                        | Kataoka & Co Ltd                                   |   |                |
|                          | B2                       | TW-377595   | 12-21-1999                        | HAG GF AG  |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                          | Taiwan IPO Search Report, Taiwan Invention Patent Application No 093126369  |                |

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.